



PTO/SB/21 (09-04)  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/767,513	
	Filing Date	January 29, 2004	
	First Named Inventor	Peter K. Herman et al.	
	Art Unit	1724	
	Examiner Name	Peter A. Hruskoci	
Total Number of Pages in This Submission	15+	Attorney Docket Number	4191-00433

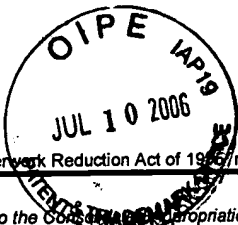
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return Receipt Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Andrus, Scales, Starke & Sawall, LLP		
Signature	<i>Michael E. Taken</i>		
Printed name	Michael E. Taken		
Date	07/05/2006	Reg. No.	28,120

CERTIFICATE OF TRANSMISSION/MAILING			
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Typed or printed name	Jo Ann Kuczynski	Date	07/05/2006

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2006**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** **\$800.00****Complete if Known**

Application Number	10/767,513
Filing Date	January 29, 2004
First Named Inventor	Peter K. Herman et al.
Examiner Name	Peter A. Hruskoci
Art Unit	1724
Attorney Docket No.	4191-00433

**METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: **01.2000** Deposit Account Name: **Andrus, Scales, Starke & Sawall, LLP**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
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**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
14 - 61	=	x	= \$0.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
7 - 3	=	x \$200.00	= \$800.00

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	\$0.00

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

**Fees Paid (\$)****SUBMITTED BY**

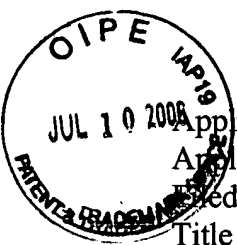
Signature	<i>Michael E. Taken</i>	Registration No. (Attorney/Agent)	28,120	Telephone	414-271-7590
Name (Print/Type)	Michael E. Taken			Date	07/05/2006

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Appn. No. : 10/767,513  
 Applicant : Peter K. Herman et al.  
 Filed : January 29, 2004  
 Title : Liquid Additive Slow-  
 Release Apparatus  
 Driven by a Filter  
 Pressure Gradient

TC/A.U. : 1724  
 Examiner : Peter A. Hruskoci

Docket No. : 4191-00433

CERTIFICATE OF MAILING

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 ) 1450 on the 5th day of July, 2006.

) Jo Ann Kuczynski 7-5-06  
 ) Jo Ann Kuczynski Date

AMENDMENT

Commissioner of Patents  
 P.O. Box 1450  
 Arlington, VA 22313-1450

Sir:

Responsive to the Office Action mailed April 6, 2006, please amend the above identified application as set forth below.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 7 of this paper. Claims 1-3, 10, 11, 14-36, 38, 39, 42, 45-50, 52-61 stand canceled. Claims 4, 12, 37, 40, 43, 44, 51 have been amended. The Amendment leaves claims 4-9, 12, 13, 37, 40, 41, 43, 44, 51 pending.

**Amendments to the Drawings** begin on page 12 of this paper and include attached replacement sheets.

1210 PENDING YC01 TTE1 00000046 10767513  
 01 FC:1201 800.00 0P

**Remarks/Arguments** begin on page 14 of this paper.